

NOMINATION DETAILS (FOR INDIVIDUALS ONLY)

M

To,  
**Marwadi Shares and Finance Limited**  
Marwadi Financial Plaza' Nana Mava Main Road,  
Off. 150 Feet Ring Road, Rajkot-360 001.

Registration No. :

UCC :

Client ID :

I/We the sole holder / joint holders / guardian (in case of Minor) here by declare that;  
I/We wish to make nomination and do hereby nominate following person(s) who is/are entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of death of the sole holder or death of all joint holders.

**Note :** Nomination can be made upto three nominations.

Nomination Details

	Detail of 1 <sup>st</sup> Nominee	Detail of 2 <sup>nd</sup> Nominee	Detail of 3 <sup>rd</sup> Nominee
First Name			
Middle Name			
Last Name			
Allocation % of Fund/Securities <input type="checkbox"/> Equally (If not equally, please specify percentage)	%	%	%
Residual Funds/Securities <small>(Please ticks any one nominee, if not tick marked, default will be first nominee)</small>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Address			
City with Pincode	City <input type="text"/>	City <input type="text"/>	City <input type="text"/>
State & Country	State <input type="text"/> Country <input type="text"/>	State <input type="text"/> Country <input type="text"/>	State <input type="text"/> Country <input type="text"/>
Phone (with STD Code)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fax (with STD Code)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mobile No.	<input type="text"/>	<input type="text"/>	<input type="text"/>
E-mail	<input type="text"/>	<input type="text"/>	<input type="text"/>
Relationship with BO			
Birth Date <small>(Mandatory if Nominee is Minor)</small>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Nominee Identification Details</b> (Please tick anyone and provide details of the same)			
<input type="checkbox"/> Photo & Sign.	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> UID No. (Aadhar)	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Saving Bank A/c. No.	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Proof of Identity	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Demat Account ID	<input type="text"/>	<input type="text"/>	<input type="text"/>

Guardian Details (If Nominee is Minor)

Name of Guardian of Nominee <small>(If Nominee is Minor)</small>	First Name - Middle Name - Last Name	First Name - Middle Name - Last Name	First Name - Middle Name - Last Name
Address			
City with Pincode	City <input type="text"/>	City <input type="text"/>	City <input type="text"/>
State & Country	State <input type="text"/> Country <input type="text"/>	State <input type="text"/> Country <input type="text"/>	State <input type="text"/> Country <input type="text"/>
Phone (with STD Code)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Fax (with STD Code)	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mobile No.	<input type="text"/>	<input type="text"/>	<input type="text"/>
E-mail	<input type="text"/>	<input type="text"/>	<input type="text"/>
Relation with Nominee			
<b>Guardian Identification Details</b> (Please tick anyone and provide details of the same)			
<input type="checkbox"/> Photo & Sign.	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> UID No. (Aadhaar)	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Saving Bank A/c. No.	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Proof of Identity	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Demate Account ID	<input type="text"/>	<input type="text"/>	<input type="text"/>

- Note : Residual fund /securities : in case of multiple nominees, please choose any one nominee who will be credited with residual funds/securities remaining after distribution of funds/securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual funds/shares, if any.
- This nomination shall supersede any prior nomination made by me/us and also any testamentary documents executed by me/us.

Date           Place

Client	Name	Sole / First Holder	Second Holder	Third Holder
	Signature	<b>C<sup>7.1</sup></b> X Sole / First Holder (Guardian in case sole holder is minor)	<b>C<sup>7.2</sup></b> X Second Holder	<b>C<sup>7.3</sup></b> X Third Holder
	Witness Details*	Witness Name	Witness Address	<b>W<sup>1</sup></b> X Witness Signature

\* Name, address & Signature of the witness only in case the account holder affixes thumb impression, instead of signature.

To be filled by DP  
Nomination accepted & registered, please refer back office report.

For, **Marwadi Shares and Finance Ltd.**  
(Authorised Signatory)

### Declaration Form for opting out of nomination

**N**

Date

To,  
**Marwadi Shares and Finance Limited**  
Marwadi Financial Plaza' Nana Mava Main Road,  
Off. 150 Feet Ring Road, Rajkot-360 001.

UCC/DP ID	<input type="text"/>
Client ID (only for Demat account)	<input type="text"/>
Sole/First Holder Name	<input type="text"/>
Second Holder Name	<input type="text"/>
Third Holder Name	<input type="text"/>

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

Date         Place

Client	Name	Sole / First Holder	Second Holder	Third Holder
	Signature	<b>C<sup>7.1</sup></b> X Sole / First Holder (Guardian in case sole holder is minor)	<b>C<sup>7.2</sup></b> X Second Holder	<b>C<sup>7.3</sup></b> X Third Holder
	Witness Details*	Witness Name	Witness Address	<b>W<sup>1</sup></b> X Witness Signature

\* Name, address & Signature of the witness only in case the account holder affixes thumb impression, instead of signature.

To be filled by DP  
Nomination accepted & registered, please refer back office report.

For, **Marwadi Shares and Finance Ltd.**  
(Authorised Signatory)

Note : Column M --- Nomination રાખવા માટે છે.  
Column N --- Nomination ન રાખવા માટે છે.